

**Incident Identification Questionnaire – Part II**

Enumerator no.: E \_\_\_\_\_

Sample no.: \_\_\_\_\_

**Apart from incidents mentioned in Part I, has any legal action been taken against your company OR has your company taken any legal action against others (e.g. in the form of solicitor’s letter or court proceedings), excluding criminal prosecutions?**

**If yes, please provide information in respect of each incident:**

| Brief description of incident | When did it happen* | Are you in a position to comment on the incident | If not, who in your company should be in a better position to answer | Whether the incident is recurrent#. If yes, identify separately reported recurrent incidents |
|-------------------------------|---------------------|--|--|--|
|                               |                     | Y/ N   |  |  |
|                               |                     | Y/ N   |  |  |
|                               |                     | Y/ N   |  |  |
|                               |                     | Y/ N   |  |  |

**Apart from incidents mentioned in Part I above, has anyone threatened to take legal action against your company (e.g. in the form of solicitor’s letter or court proceedings), excluding criminal prosecutions? Has your company ever contemplated taking legal action against others?**

**If yes, please provide the following information:**

- (1) Number of incidents considered as *unimportant* \*\* and hence *your company has not taken any action* \_\_\_\_\_
- (2) Number of incidents considered *important* but *your company has not taken any action* \_\_\_\_\_
- (3) Number of incidents for which *action has been taken* by your company \_\_\_\_\_

**Please provide information in respect of each incident listed in (2) and (3):**

| Brief description of incident | When did it happen* | Are you in a position to comment on the incident | If not, who in your company should be in a better position to answer | Whether the incident is recurrent#. If yes, identify separately reported recurrent incidents |
|-------------------------------|---------------------|--|--|--|
|                               |                     | Y/ N   |  |  |
|                               |                     | Y/ N   |  |  |
|                               |                     | Y/ N   |  |  |
|                               |                     | Y/ N   |  |  |
|                               |                     | Y/ N   |  |  |

\* Interviewer should prompt by asking, for example, whether it happened before or after Chinese New Year, Christmas, 1 October or birthday.

\*\* Including incidents in which it is believed no one is to blame or the other side is right.

# Recurrent incidents only refer to incidents which are of the same nature and are affecting the same third parties.

**Instruction to Interviewers:** Interviewers should collect as much information as possible from the respondents for each incident identified above, similar to that obtained in the *Screening Questionnaire*.

Please go to *Incident Handling Report Questionnaire* for each incident identified.