

Incident Identification Questionnaire – Part I

Enumerator no.: E _____

Sample no.: _____

Please provide more information on **difficult-to-solve problems or disputes** your company has experienced, as reported in the Screening Questionnaire.

You just mentioned that your company has experienced _____ incidents of difficult-to-solve problems or disputes in the **past 12 months** which are related to _____, or incidents you have experienced in the past 5 years.

Please provide the following information:

(1) Number of incidents considered as **unimportant**** and hence **no action has been taken** by your company: _____

(2) Number of incidents considered **important**, but **no action has been taken** by your company: _____

(3) Number of incidents for which **action has been taken** by your company: _____

Please provide information in respect of each incident listed in (2) and (3):

| Brief description of incident | When did it happen* | Are you in a position to comment on the incident | If not, who in your company should be in a better position to answer | Whether the incident is recurrent#. If yes, identify separately reported recurrent incidents |
|---|---------------------|--|--|--|
| Incidents considered important , but no action has been taken | | Y/ N Y/ N Y/ N Y/ N Y/ N Y/ N | | |
| Incidents for which action has been taken | | Y/ N Y/ N Y/ N Y/ N Y/ N | | |

* Interviewer should prompt by asking, for example, whether it happened before or after Chinese New Year, Christmas, 1 October or birthday.

** Including incidents in which it is believed no one is to blame or the other side is right.

Recurrent incidents only refer to incidents which are of the same nature and are affecting the same third parties.

Instruction to Interviewers: Please go to **Incident Handling Report Questionnaire** for each incident identified.